

# 1 PART III

## 2 *Evolutionary Genetics of Personality*



# 9

## Bridging the Gap Between Modern Evolutionary Psychology and the Study of Individual Differences

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5 One of the main aims of evolutionary biology is to explain the forms of adap-  
6 tation found in nature—the complex functional design features that evolved  
7 through natural selection to increase the fit of organisms to their environ-  
8 ment (Ridley, 2003). Evolutionary psychology is the scientific field that  
9 studies how organisms adapt behaviorally to their environments. Evolutionary  
10 approaches to understanding humans are as old as the theory of evolution  
11 itself, dating back to Charles Darwin. However, the forms of adaptation that  
12 modern human evolutionary psychology has addressed in the last 20 years  
13 have been somewhat limited: so far, its focus has been mainly on universal  
14 adaptations (Tooby & Cosmides, 1990a, 2005). Universal adaptation refers  
15 to aspects of the human genome that became fixated in the population by  
16 natural selection before our species began to spread over the globe about  
17 50,000 years ago (Klein, 2008)—in the so-called ‘Environment of Evolutionary  
18 Adaptation’ (EEA, Tooby and Cosmides, 1990b)—and that have not changed  
19 systematically since, making them universal to all living human beings.  
20 Examples include opposable thumbs, upright gait, color vision, the capacities  
21 to easily acquire languages in childhood or to develop fear of spiders or  
22 snakes, a theory of mind, a desire for sex starting at puberty, the attachment  
23 system, or certain parental behaviors. The sum of all adaptations can be called  
24 the ‘adaptive design.’ Modern evolutionary psychology has developed a pow-  
25 erful methodology for the study of universal adaptations (Tooby & Cosmides,  
26 2005), and since the theory of evolution is the only scientific meta-theory for

1 the behavioral sciences that has been proposed to date, this makes it an  
2 invaluable asset to any area of general psychology.

3       However, modern evolutionary psychology has had (with some notable  
4 exceptions, e.g., Wilson, 1994; Gangestad & Yeo, 1997) a more limited impact  
5 on the study of individual differences like personality traits, cognitive abili-  
6 ties, psychopathologies, or morphological differences. The reason for that is  
7 already implied in the term ‘universal adaptations’: Since they are supposed  
8 to be part of the universal human design, they should not differ between  
9 individuals. It is important to understand that individuals of the same species  
10 never vary along any dimension of individual differences (like extraversion or  
11 intelligence) because they have different evolved adaptations (except for sex  
12 differences; see below): Adaptations are complex functional design features  
13 of a species that develop reliably in consistent environmental circumstances  
14 because they depend on the systematic interplay of complex genetic struc-  
15 tures with environmental regularities (Tooby & Cosmides, 2005; Tooby,  
16 Cosmides, & Barrett, 2005). If adaptations were to vary between members of  
17 the same species, different individuals must show different complex systems  
18 of genetic structures. If individuals with different adaptations then engaged  
19 in sexual reproduction (which should be possible for them to qualify as  
20 members of the same species), these different genetic structures would  
21 be broken up and mixed during the process of recombination, disrupting  
22 their complex organization and consequently their adaptiveness (Tooby &  
23 Cosmides, 1990a). Thus, complex evolved adaptations themselves cannot  
24 vary between individuals.

25       However, adaptations are sometimes capable of producing different  
26 (morphological or behavioral) phenotypes under different conditions, and  
27 systems of adaptations are sometimes able to tolerate some genetic variation.  
28 So while adaptations themselves are universal to all human beings, we can  
29 observe individual differences between humans that appear related to  
30 evolved adaptations: All humans show upright gait, but they differ in run-  
31 ning speed and sense of balance. All humans see with two eyes of identical  
32 design and process what they see in their visual cortices, but they may differ  
33 in visual acuity or the ability to discriminate certain colors (e.g. if they suffer  
34 from color blindness). All humans are endowed with working memories  
35 that appear to rely on the same cognitive components (Myiake & Shah,  
36 1999), but they differ in working memory capacity. Certain parieto-frontal  
37 circuits in the brain, including those that give rise to working memory, pro-  
38 vide all humans with the adaptive ability to reason, but people differ in their  
39 information processing speed, neuronal white matter integrity, brain size,  
40 glucose metabolism efficiency, and other fundamental brain parameters that  
41 give rise to individual differences in general cognitive abilities, including rea-  
42 soning ability (Deary, 2000; Deary, Penke, & Johnson, 2010; Jensen, 1998;

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1 Jung & Haier, 2007). All humans possess various adaptations for social  
2 exchange (Hammerstein, 2003), but their cooperative tendencies vary along  
3 a personality dimension of agreeableness (Denissen & Penke, 2008a). All  
4 humans come with a multitude of domain-specific adaptations for sexual  
5 reproduction (Buss, 2003; Geher & Miller, 2007), but they differ in their  
6 mate values and their sexual strategies and tactics (Gangestad & Simpson,  
7 2000; Penke & Asendorpf, 2008). There is absolutely no reason to assume a  
8 one-to-one match between adaptations and dimensions of individual differ-  
9 ences. The most likely relationship is that each dimension of individual dif-  
10 ferences that is fitness-relevant relates to a system of universal adaptations,  
11 and each adaptation relates to several individual differences. So despite occa-  
12 sional claims to the contrary (e.g. Bernard, Mills, Swenson, & Walsh, 2005;  
13 Kanazawa, 2004), universal adaptations cannot be studied by assessing  
14 related individual differences, and while dimensions of individual differences  
15 can be cross-culturally universal and even adaptive (a point to which I will  
16 return later), it is misleading to equate them with universal adaptations (as  
17 for example done by MacDonald, 1995, 2005).

18 In this chapter, I will explore how individual differences can be better  
19 integrated into modern evolutionary psychology. I will first introduce life  
20 history theory as arguably the most important evolutionary theory for the  
21 study of individual differences and then discuss different sources of individ-  
22 ual differences from an evolutionary perspective. After a brief discussion of  
23 sex differences, I will focus on two different forms of conditional adaptations,  
24 those evolved mechanisms that react flexibly to the environment, as sources  
25 of non-heritable individual differences. I will then explicate how an evolu-  
26 tionary perspective can be taken on genetic differences between individuals.  
27 Finally, I will present a general evolutionary framework for the study of indi-  
28 vidual differences that integrates universal adaptations, genetic differences,  
29 and life history theory and outline some future directions for an evolutionary  
30 psychology of individual differences.

### 31 LIFE HISTORY THEORY

32 From an evolutionary perspective, the most important dimension of indi-  
33 vidual differences is inclusive fitness (Penke, Denissen, & Miller, 2007a). It  
34 can be defined as the spread of one's genes in the population over genera-  
35 tions. Fitness is intimately intertwined with reproductive success in terms of  
36 surviving children, grandchildren, and genetic relatives. According to life his-  
37 tory theory (Stearns, 1992; Roff, 1992; Kaplan & Gangestad, 2005), reaching  
38 high reproductive success is a complex task that requires mastering a series  
39 of challenges over the lifetime, including successful growth and maturation,

1 finding and courting mates, reproducing, raising children, supporting rela-  
2 tives, and maintaining a healthy phenotype for as long as one can provide kin  
3 with further helpful support of any kind. However, these different tasks often  
4 conflict with each other, and efforts (in terms of energy, time, money, atten-  
5 tion, and other resources) that can be allocated to them over the lifespan are  
6 always limited. Consequently, trade-offs have to be made when allocation  
7 decisions are reached. Note that 'decision' in this context does not imply  
8 consciousness, and the word will be used in this sense throughout the chap-  
9 ter. According to life history theory, the two major trade-off dimensions are  
10 (1) extended growth vs. early reproduction and (2) number of offspring vs.  
11 amount of investment in every offspring. There are also other, more specific  
12 trade-off dimensions, for example courting many potential mates vs. com-  
13 mitting to one, investing in own children vs. other genetic relatives, or putting  
14 a lot of effort in reproducing vs. maintaining the body long enough to make  
15 meaningful investments in grandchildren. The central function of the adap-  
16 tive design is to make possible the most fitness-enhancing allocation decisions  
17 given these trade-offs (Kaplan & Gangestad, 2005).

18 *Across* species, it is helpful to characterize individual species along a  
19 continuum of broad life history strategy, ranging from so-called r-strategists  
20 (species that allocate efforts more towards early maturation and reproduc-  
21 tion as well as offspring quantity, e.g. oysters, rabbits) to so-called K-strategists  
22 (who invest in extended growth, body maintenance, and offspring quality,  
23 e.g. elephants, whales) (Wilson, 1975; but see Bielby et al., 2007). The uni-  
24 versal adaptive design of a species reflects its broad strategy in many regards,  
25 including for example its average body and brain size, life expectancy, and  
26 dependency of offspring at birth. According to all possible criteria, humans  
27 clearly fall at the K end of the continuum (Rushton, 2004). Thus, the adap-  
28 tive design shared by all human beings predisposes them, compared to other  
29 species, to a life of relatively slow development, extensive learning, few chil-  
30 dren, and effortful parental duties. *Within* a species, however, there is usually  
31 not a single optimal set of life history decisions: Even if all members of a spe-  
32 cies are geared towards the same broad life history strategy, different indi-  
33 viduals can allocate their efforts somewhat differently over the lifespan  
34 within the margins of their species-specific strategy and still end up with very  
35 similar fitness levels (i.e., they can follow different evolutionarily stable strat-  
36 egies: Maynard-Smith, 1982). Also, the most optimal strategies might be  
37 different for individuals with different genetic makeup or individuals who  
38 face different environmental opportunities or challenges. These degrees of  
39 freedom within the species-typical life history strategy allow for individual  
40 differences in fitness-relevant behaviors, and it is certainly the reason that life  
41 history theory has often been used as a theoretical framework for evolutionary  
42 approaches to individual differences (e.g. Buss, 2009; MacDonald, 2005;  
43 Figueredo et al., 2005). The current chapter stays in this tradition.

## 1 TWO VERSIONS OF THE HUMAN ADAPTIVE DESIGN: 2 SEX DIFFERENCES

3 Without doubt, the individual differences that have received most attention  
4 from evolutionary psychologists are sex differences (Mealey, 2000). Biological  
5 sex (unlike psychological gender) is a very peculiar individual difference  
6 because it is not dimensional, but categorical. Some chromosome anomalies  
7 like Klinefelter's (XXY) syndrome or XYY syndrome notwithstanding,  
8 humans normally come in two distinct versions or 'morphs'— women and  
9 men (Tooby & Cosmides, 1990a). The differentiation of these two distinctive  
10 forms of the human adaptive design is triggered in the first four weeks of  
11 prenatal development. If a Y chromosome is present, genes in called the  
12 so-called sex-determining region on the Y chromosome (SRY) initiate the  
13 deviation from the default female developmental program towards a male  
14 phenotype. Since the SRY genes have such major, irreversible effects on many  
15 different parts of the human phenotype that we can clearly distinguish two  
16 different human morphs, this genetic region can be called a genetic master  
17 switch. However, since there are no other clearly distinguishable human  
18 morphs that would qualify as equally categorical, the SRY region is probably  
19 also the only genetic master switch in humans that controls early and irrevers-  
20 ible development into different morphs (Penke, Denissen, & Miller, 2007b).

21 The biological way to define sexes is that females contribute larger (and  
22 thus metabolically more costly) sex cells to sexual reproduction than males  
23 do, a difference called anisogamy. In mammalian species like humans, this  
24 initial asymmetric contribution is further exaggerated by the fact that women  
25 inevitably bear the costs of internal pregnancy, birth, and usually lactation,  
26 leading to a pronounced sex difference in minimal parental investment.  
27 In his seminal parental investment theory, Trivers (1972) proposed that this  
28 fundamental sex difference should lead to differences between men and  
29 women in all kinds of morphological features and behavioral tendencies that  
30 relate directly or indirectly to mating and reproduction. Indeed, this is the  
31 area where some of the strongest human sex differences have been found  
32 (Hyde, 2005), and they have already been studied extensively within an  
33 evolutionary framework (Buss, 2003; Mealey, 2000).

34 So in a nutshell, the human adaptive design comes in a male and a female  
35 version and the sexes show some universal differences on the morphological  
36 and psychological level that can be seen as adaptations to the stable feature  
37 of the social environment that women get pregnant and men don't. These  
38 two distinctive adaptive designs facilitate qualitatively different life history  
39 strategies in men and women (e.g., men tend to prefer to have sex faster and  
40 with more different partners—Schmitt et al., 2003). So far, so good—but  
41 what else, beyond sex differences, can evolutionary psychology contribute to  
42 the study of individual differences?

## 1 FLEXIBILITY IN THE ADAPTIVE DESIGN: CONDITIONAL 2 ADAPTATIONS AND PHENOTYPIC PLASTICITY

3 The other major contribution that modern evolutionary psychology has  
4 made to the study of individual differences is the concept of *conditional*  
5 *adaptations*. Adaptations are conditional when they are capable of producing  
6 different (morphological, physiological, or behavioral) phenotypes depend-  
7 ing on the requirements of the environment. Conditional adaptations are a  
8 special case of *phenotypic plasticity*, which refers to the fact that identical  
9 genotypes usually do not produce identical phenotypes (Pigliucci, 2005;  
10 West-Eberhardt, 2003). The natural example in humans is monozygotic (i.e.,  
11 identical) twins, who often show astonishing, but never perfect resemblance  
12 (Segal, 2005). Aside from conditional adaptations, phenotypic plasticity can  
13 be due to factors that impede an organism's development in accordance  
14 with its adaptive design, causing *developmental instability* (Polak, 2003) due  
15 to exposure to environmental factors that disturb development or somatic  
16 maintenance during adulthood. Examples include toxins, pathogens, extreme  
17 temperatures, maternal stress during pregnancy, or malnutrition. Over and  
18 beyond that, lab studies on model organisms have shown that even in iden-  
19 tical environments, individuals with identical genomes do not produce  
20 perfectly identical phenotypes (Kirkwood et al., 2005). This has been taken  
21 as evidence that non-linear epigenetic interactions and pure molecular  
22 chance events contribute a 'chaotic' component to phenotypic plasticity  
23 (Eaves, Kirk, Martin, & Russel, 1999; Finch & Kirkwood, 2000).

24 The phenotypic plasticity that is caused by conditional adaptations is  
25 different from developmental instability: It is not due to imperfect develop-  
26 ment, but is adaptive in its own right. Conditional adaptations, themselves  
27 part of the universal adaptive design, are sensitive to cues from the environ-  
28 ment. These adaptations use the environmental information to adjust the  
29 phenotype towards increased fit to the environment. Conditional adjust-  
30 ments of the phenotype can happen in very different ways (see Penke, 2009):  
31 At one extreme, the whole developmental pathway of the organism can be  
32 fundamentally altered early on. An example of this is sex determination in  
33 some amniote vertebrates such as alligators, some turtles, or the Australian  
34 jacky lizard, which is dependent on the environmental temperature during  
35 the embryonic stage (Warner & Shine, 2008). In humans, where sex is genet-  
36 ically determined, such an extreme degree of *adaptive developmental plastic-*  
37 *ity* most likely does not exist. However, the possibilities of other, less extreme  
38 forms have received quite some attention in the evolutionary psychological  
39 literature. One prominent example is first language acquisition, which shows  
40 clear signs of adaptive design and is conditional to the language with which  
41 one has interactive exposure during early childhood, but is lifelong stable



1 afterwards (Pinker, 1994). Another prominent example is the Belsky-  
2 Draper-Steinberg hypothesis (Belsky, Draper & Steinberg, 1991), which pro-  
3 claims that the degree of environmental stress experienced during childhood  
4 can be an indicator of adult reproductive conditions (e.g. the prevalence of  
5 monogamy and paternal investment). This hypothesis proposes that humans  
6 possess a conditional adaptation that uses childhood stress as a cue to chan-  
7 nel maturation and psychosocial development so that they fit the demands of  
8 the predicted optimal reproductive strategy in adulthood. Some prominent  
9 versions of this hypothesis, especially those relying on stepfather presence as  
10 the critical cue, have been disconfirmed by the empirical evidence (Ellis,  
11 2004; Mendle et al., 2006; Neberich, Lehnart, Penke & Asendorpf, 2010).  
12 However, different variants of the core Belsky-Draper-Steinberg hypothesis  
13 have subsequently been proposed (Del Giudice, 2009; Del Giudice & Belsky,  
14 this volume; Ellis, 2004, this volume), which might turn out to be more  
15 viable—and they all rely on adaptive developmental plasticity.

16 At the other extreme of phenotypic plasticity that is due to conditional  
17 adaptations are more direct *adaptive conditional adjustments*, which do not  
18 require developmental changes of the phenotype. Thus they are much more  
19 flexible and reversible than adaptive developmental plasticity, and they allow  
20 individuals to adapt to their current environment much faster. For example,  
21 people are able to quickly adjust their mating preferences, interests, and  
22 tactics adaptively to the opportunities of the local mating market (e.g. sex  
23 ratios, number of available potential mates, own and potential mates' relative  
24 mate value—reviewed in Lenton, Penke, Todd, & Fasolo, in press; Penke,  
25 Todd, Lenton, & Fasolo, 2007). Emotions and affective states can also be seen  
26 as conditional adaptations that lead to adaptive conditional adjustments  
27 when triggered by the right cues (Tooby & Cosmides, 1990b; see also Miller,  
28 this volume). For example, someone might trigger the emotion of anger in an  
29 individual by being a persisting encumbrance to his or her goals, and this  
30 puts the actor in a transient altered state of consciousness called anger that  
31 facilitates taking actions against the encumbering person. Similarly, personal  
32 losses, social rejection, failed efforts, or other overly stressful life events  
33 might put people in a state of depression. It has been shown that the specific  
34 behaviors people show in this state fit the affordances of the triggering situ-  
35 ation (e.g., passivity and rumination after failure, crying and seeking support  
36 after social loss or rejection), which can be taken as indication of conditional  
37 adaptive design (Keller & Nesse, 2006).

38 Adaptive developmental plasticity and adaptive conditional adjust-  
39 ments can be seen as endpoints of a continuum of adaptive phenotypic  
40 plasticities that differ in how much the adaptive response requires develop-  
41 mental changes of the phenotype (Penke, 2009). This implies they also  
42 differ in how stable the relevant aspects of the environment need to be for

1 an adaptive response: Even if childhood stress is a valid cue of current repro-  
2 ductive conditions, conditional adaptations in the tradition of the Belsky-  
3 Draper-Steinberg hypothesis will only yield an adaptive response if the  
4 reproductive conditions are sufficiently stable over the next 10 to 20 years  
5 later, until the individual reaches reproductive age. If they have changed  
6 substantially in the meantime (e.g. from socially tolerated promiscuity to  
7 strictly enforced monogamy), the developmental change triggered by the  
8 conditional adaptation can even be maladaptive. The same is also true for  
9 non-conditional adaptations, which can only be adaptive if the relevant envi-  
10 ronmental aspects resemble the EEA (Tooby & Cosmides, 1990b) and are  
11 thus dependent on an even higher degree of environmental stability (Penke,  
12 2009). Adaptive conditional adjustments do not suffer from this problem,  
13 but lacking developmental time, they have limited power to change the phe-  
14 notype to reach a better adaptive fit to the environment: The phenotypic  
15 changes triggered by adaptive conditional adjustments are usually only  
16 behavioral, not morphological (see Penke, 2009).

17 This trade-off between the possible degree of developmental phenotypic  
18 change and the ability to react to environmental change provides one reason  
19 that individuals do not show optimal adaptive plasticity (i.e., the ability to  
20 achieve perfect adaptive fit to the current environment instantaneously),  
21 which would of course be the desirable ideal (Penke et al., 2007a). A second  
22 reason for suboptimal adaptive plasticity is the noisiness of environmental  
23 cues: If the available environmental information is an unreliable indicator of  
24 the fitness pay-offs of different possible life history strategies, instant pheno-  
25 typic plasticity can cause as much harm as it can do good (see Miller, 2007;  
26 Penke et al., 2007a). So even for humans, who are undoubtedly the species  
27 that has evolved the most advanced capacities for learning from, reasoning  
28 about, and flexibly adjusting to their environment (Kaplan, Lancaster, &  
29 Robson, 2003), perfect adaptive plasticity remains utopian.

## 30 GENETIC DIFFERENCES

31 Even though conditional adaptations can react to the environment, they are  
32 still part of the species-typical adaptive design, implying that the systematic,  
33 adaptive individual differences they produce are purely environmental in  
34 nature, implying zero heritability. This stands in stark contrast with the  
35 behavioral genetic literature, which has reported significant heritabilities for  
36 virtually all human traits that have been sufficiently studied (Plomin, DeFries,  
37 McClearn, & McGuffin, 2008). Indeed, genetic variance in human individual  
38 differences is so ubiquitous that Turkheimer (2000) has called its existence  
39 in any trait the first law of behavior genetics. While the molecular causes of

1 genetic variance in most traits are still astonishingly poorly understood  
2 (Maher, 2008) and its mere existence tells us nothing specific about the  
3 evolutionary history or biological significance of a trait (Johnson, Penke, &  
4 Spinath, 2010), non-zero heritabilities are a clear indicator that traits can and  
5 will react to evolutionary selection pressures as soon as the traits have any  
6 fitness-relevant effects (Visscher, Hill, & Wray, 2008). Thus, any evolutionary  
7 approach to individual differences is indefensibly incomplete if it cannot  
8 account for genetic variation among individuals.

9 Unlike sex differences and conditional adaptations, however, within-sex  
10 heritable differences do not sit well with modern evolutionary psychology's  
11 strong focus on universal adaptations. Indeed, in their seminal early paper,  
12 Tooby and Cosmides (1990a) discussed a variety of ways that genetic vari-  
13 ance can be viewed evolutionarily, but largely concluded that most genetic  
14 variance in psychological traits is likely selectively neutral—mere noise in the  
15 system—or a side effect of selection for pathogen resistance at best. Perhaps  
16 due to its convenience, this conclusion remained rather unchallenged for  
17 about 15 years (but see Gangestad & Yeo, 1997; MacDonald, 1998; Wilson,  
18 1994). However, on a closer look the neutrality argument relies on very strict  
19 assumptions, including that not a single additional child is born in the next  
20 15 generations because people differ in a supposedly neutral trait (Penke  
21 et al., 2007a). These assumptions may hold for some specific traits, like  
22 certain smell-, taste- and pheromone-related perceptual abilities (Nozawa,  
23 Kawahara, & Nei, 2007), which might not have the adaptive benefits in  
24 modern humans that they likely had in our ancestors. But the assumptions  
25 are hardly plausible for most individual differences psychologists are inter-  
26 ested in, since substantial effects on fitness-relevant life outcomes have been  
27 shown again and again for personality traits (Ozer & Benet-Martinez, 2006;  
28 Roberts, Kuncel, Shiner, Caspi, & Goldberg, 2007), general cognitive ability  
29 (Batty, Deary, & Gottfredson, 2007; Deary, Whalley, & Starr, 2008;  
30 Gottfredson, 2004, 2007), physical attractiveness (Langlois et al., 2000), and  
31 psychopathologies (Keller & Miller, 2006).

32 So if virtually all human individual differences of interest are heritable  
33 enough to be potentially affected by selection and fitness-relevant enough  
34 that they cannot be selectively neutral, we have to explain why these differ-  
35 ences have not vanished—been driven to extinction or fixation—over evolu-  
36 tionary time. In a nutshell, there are three possible reasons why non-neutral  
37 genetic differences persist: 1) new genetic variants with positive effects on  
38 fitness have emerged fairly recently, so that positive selection simply has  
39 not had enough time to fix them yet (*recent selective sweeps*); 2) different  
40 competing genetic variants have the highest fitness pay-offs under different  
41 conditions, so that there simply is not a single optimal genetic variant that  
42 could get fixated (*balancing selection*); or 3) so many new genetic variants

1 with small negative effects on fitness emerge so that purifying selection is  
2 unable to get rid of all of them (*mutation-selection balance*). Based on these  
3 possibilities, several possible mechanisms have been proposed in the field of  
4 evolutionary genetics (Mitchell-Olds, Willis, & Goldstein, 2007; Roff, 1997;  
5 Roff & Fairbairn, 2007), which I will sketch in the following two sections (for  
6 a more detailed discussion, see Penke et al., 2007a,b).

## 7 RECENT SELECTIVE SWEEPS

8 While many important parts of our genome have not changed since the  
9 Pleistocene EEA days (and indeed have often been found to be conserved  
10 since way back in our mammalian and pre-mammalian phylogenetic his-  
11 tory), accumulating molecular genetic evidence suggests that it would be a  
12 fallacy to assume that human evolution has stopped since (Cochran &  
13 Harpending, 2009; Hawks, Wang, Cochran, Harpending, & Moyzis, 2007;  
14 Nielsen et al., 2007; Williamson et al., 2007). One main reason that selection  
15 pressures still act on our genetic variation is environmental change: Since  
16 humans moved out of Africa, they experienced a broad variety of climates,  
17 pathogens and dangers; it saw the advent of agriculture, domesticated ani-  
18 mals, big cities, harems, social monogamy, and social health systems; and it  
19 invented literacy, penicillin, weapons of mass destruction, and the Internet,  
20 among many other things. Even though we still breathe air, digest food, and  
21 beget children, it is fair to say that our ecology has changed dramatically  
22 since the EEA. These ongoing radical environmental changes increase the  
23 odds that newly derived genetic variants (mutations) or existing, but selec-  
24 tively neutral genetic variants become more adaptive than the so far most  
25 widespread variants (the “wild type”). They also raise the probability that  
26 genetic variants that had not been phenotypically expressed (‘active’) in the  
27 EEA now suddenly affect the phenotype and its fitness for better or worse,  
28 thus becoming exposed to selection (so-called cryptic genetic variation, see  
29 Gibson & Dworkin, 2004). Genetic variants that are under positive selection  
30 because they are or have become fitness-enhancing can be called adaptive,  
31 since they are on their way to becoming fixated and possibly part of an  
32 adaptation. In reverse, all other genetic variants at the same genetic locus as  
33 the adaptive variant can be classified as maladaptive. As long as fixation of  
34 the more adaptive variant has not been completed, genes under recent selec-  
35 tion can contribute to genetic differences we observe today—we observe  
36 them while they experience adaptive selective sweeps (Miller, this volume;  
37 Cochran & Harpending, 2009).

38 It is important to note that these ‘adaptations’ that are based on single or  
39 small sets of genetic variants are quite distinct from the complex adaptations

1 that are usually studied in evolutionary psychology. Single adaptive genes  
2 may alter certain parameters of one or (more likely) several complex adapta-  
3 tions, such as their strengths, size, activation thresholds, sensitivities, reactivi-  
4 ties, etc.—variation that complex adaptations might tolerate. Some possible  
5 examples of such parameters are given at the beginning of this chapter. The  
6 gradual fixation and accumulation of single adaptive genes over long evolu-  
7 tionary periods can lead to qualitative changes in and the emergence of new  
8 complex adaptations, but while complex adaptations need to be species-  
9 universal (at least within sexes) to be evolutionary stable, single adaptive  
10 genes can evolve quickly and contribute to genetic variation before they get  
11 fixated.

12 Various molecular genetic techniques can provide hints to whether a  
13 region in the genome has been under recent selection or not, either based on  
14 comparisons between human and non-human primate genomes (“compara-  
15 tive genomics”) or on searching for certain regional patterns within human  
16 genomes. The results, however, are heavily dependent on the quality of the  
17 genetic data and so far limited to larger genomic regions (Harris, 2008; Hawks  
18 et al., 2007; Hoffmann & Willi, 2008; Williamson et al., 2007). Still, the rapid  
19 development in this area makes it likely that we will have much more detailed  
20 knowledge about where selection acted on our genome in the near future.

21 Though recent selective sweeps appear to be good candidates for explain-  
22 ing currently observable genetic differences, recent empirical findings have  
23 tempered initial enthusiasm a bit. Take for example the MCHP1 and ASPM  
24 genes. Both of these genes are related to primary microcephaly, a neurodevel-  
25 opmental disorder characterized by dramatic reduction in cortical volume,  
26 and both have been found to show signatures of recent adaptive selection  
27 (Evans et al., 2005; Mekel-Bobrov et al., 2005). To much surprise, however,  
28 subsequent studies failed to find any associations of these genes with current  
29 individual differences in brain size or cognitive, reading, or language abilities  
30 (Woods et al., 2006; Bates et al., 2008; Mekel-Bobrov et al., 2007).

31 One reason that genes under recent selection are not necessarily likely  
32 to explain much of the genetic differences among people is that the time  
33 selection needs to fix a genetic variant with consistent adaptive benefits in a  
34 population is not very long (judged by evolutionary standards)—only about  
35 10,000 years (Keller & Miller, 2006). Since the last human ancestor that  
36 was shared by all modern humans lived much longer ago, selective sweeps  
37 are likely population-specific. Any sample we draw nowadays might be a  
38 snapshot of specific selective sweeps—the genetic variants that contribute  
39 to individual differences in one population now might have already been  
40 fixated in another and may never have been introduced by mutation in a  
41 third (see also Cochran & Harpending, 2009; Penke et al., 2007b; Penke  
42 et al., 2009).

1 So the proposition that recent selective sweeps explain a significant pro-  
 2 portion of the genetic variance in human traits is based on the proposition  
 3 that either traits are very much population-specific (which is at odds with  
 4 the empirical finding that phenotypically very similar traits can be found in  
 5 many different populations around the world, e.g. Caroll, 1993; McCrae &  
 6 Allik, 2002), or that very different genetic make-ups underlie similar traits in  
 7 different populations (an at least very counter-intuitive, though possible,  
 8 proposition that would need strong empirical evidence). Still, recent selec-  
 9 tive sweeps (alongside random genetic drift) may explain genetic differences  
 10 between populations. There is increasing empirical evidence that such differ-  
 11 ences exist, even between different European countries or even different  
 12 regions within Italy or Switzerland (e.g. Heath et al., 2008; Lao et al., 2008;  
 13 McEvoy et al., 2009; Novembre et al., 2008), though it is not well under-  
 14 stood which phenotypic traits they affect. However, it is difficult to use selec-  
 15 tive sweeps as an explanation for the existence of cross-culturally replicable  
 16 dimensions of heritable individual differences, like intelligence, extraversion,  
 17 neuroticism, or agreeableness, unless we assume distinct underlying genetic  
 18 make-ups. This is a provocative hypothesis for future research. But there are  
 19 alternative explanations. One is that genetic variants can stay in a population  
 20 much longer if their effects on fitness are not consistent, but change across  
 21 different environments. In this case, they are under balancing selection, which  
 22 will be discussed next.

### 23 BALANCING SELECTION

24 Genetic variants can be maintained in the population indefinitely, as long as  
 25 the average effect on fitness across all relevant environments is equal for all  
 26 variants at a genetic locus. In this case, selection is unable to fixate any one of  
 27 the variants, because each can be selected for under certain conditions.  
 28 Evolutionary genetic models have shown that balancing selection is indeed a  
 29 plausible mechanism for the maintenance of genetic differences, even in  
 30 quantitative traits that vary dimensionally between individuals, like those  
 31 usually studied by psychologists (Bürger, 2000; Roff, 1997; Turelli & Barton,  
 32 2004). Just like genes under recent selective sweeps, genes under balancing  
 33 selection may affect parameters of complex adaptations. The major differ-  
 34 ence between them is that in the former case, one genetic variant is more  
 35 adaptive (fitness-enhancing) for everyone in the population, while there is no  
 36 single most adaptive genetic variant under balancing selection—it depends  
 37 on the environment.

38 Note that ‘environment’ is defined very broadly in this context: For one,  
 39 it includes the external physical and organic environments, which can vary in

1 climate, food availability, dangerousness, pathogen and toxin prevalence, the  
2 rates at which cognitive and physical challenges are encountered, and many  
3 other properties. Take for example an individual with a genetic propensity to  
4 show risky, impulsive, novelty-seeking behaviors (which have been related to  
5 the dopamine receptor gene DRD4; Ebstein, 2006): The early bird gets the  
6 worm, but the second mouse gets the cheese. Whether the novelty seekers or  
7 the hesitators get the rewards depends on the distribution of ‘worms’ vs.  
8 ‘mousetraps’ in the current environment (Pinker, 2009).

9 Secondly, the social environment can vary just as much as the physical  
10 and organic environments. Liars and cheaters will have advantages when the  
11 majority of people are honest and cooperative, but might fare worse than a  
12 minority of co-operators once they become the majority themselves (Mealey,  
13 1995)—just as aggressive hawks only fare better than cowardly doves as long  
14 as they are more likely to encounter doves than hawks (Maynard-Smith,  
15 1982). These are classic examples of negative frequency-dependent selection  
16 (called ‘negative’ because minorities are favored), the most established form  
17 of social balancing selection. Both the physical/organic and the social envi-  
18 ronments can vary spatial and temporally. The same individual can encounter  
19 different environments at different points over his or her lifespan, or his or  
20 her ancestors could have encountered different environments by staying in  
21 one place that changed over time or by moving to different places. In any  
22 case, what matters for balancing selection is that the fitness benefits of genetic  
23 variants, averaged across all environments that all their carriers encounter,  
24 stay the same. If this condition is met within a single lifespan, the genetic  
25 variants are effectively neutral in terms of lifetime fitness effects. If the condi-  
26 tion is met across generations, the genetic variants are not neutral for the  
27 fitness of individuals, but balanced at the population level over time.

28 In other special cases of balancing selection, the ‘environment’ that  
29 affects a genetic variant is internal rather than external: it is constituted  
30 by other genes of the individual. One very plausible candidate for such a  
31 so-called epistatic interaction effect on fitness is the sex-determining genetic  
32 region SRY that was introduced earlier. A genetic variant might show an  
33 epistatic interaction with SRY genes in that it has fitness-enhancing effects in  
34 a male body but might be fitness-reducing in a female body and vice versa.  
35 Fitness-relevant interactions with sex (and thus epistatic interactions with  
36 the sex-determining SRY genes) are called sexually antagonistic pleiotropy,  
37 and it can be understood as a special case of temporal environmental varia-  
38 tion across generations, with the male and the female bodies being the ‘envi-  
39 ronments’ that a genetic variant encounters over generations. An example  
40 could be genetic variants that predispose for antisocial personality marked by  
41 Machiavellism, narcissism and subclinical psychopathy, which appear to be  
42 more favorable for male than female mating success (Jonason, Li, Webster, &

1 Schmitt, 2009). Similar interactions between a certain genetic variant and  
2 other parts of the genome or with overall genetic fitness (see below) are also  
3 possible, but far less well documented.

4       Balanced fitness effects of a trait in a *single, stable* environment will most  
5 likely not explain much genetic variance in individual differences, since such  
6 simple antagonistic pleiotropies or trade-offs are usually evolutionarily  
7 unstable—over time they will get replaced by genetic make-ups that avoids  
8 such direct conflicts (Roff & Fairbairn, 2007). An example might be the  
9  $\beta_2$ -adrenergic receptor gene ADRB2, which appears to have opposing effects  
10 on general intelligence and some health conditions in early and late adult-  
11 hood, but shows markedly reduced variability in some populations (Penke  
12 et al., 2010). Spatiotemporal environmental heterogeneity is the most plau-  
13 sible mechanism for keeping genetic variants under balancing selection over  
14 evolutionarily long periods, with spatial variation usually working better than  
15 temporal variation (Hedrick, 1986, 2006).

16       Critical conditions for balancing selection to maintain genetic variation  
17 are that change in environmental selection pressures happen in a way that  
18 the average adaptive benefit of each genetic variant is equal across space and  
19 time, and that no genetic variant is affected long enough by unidirectional  
20 selection pressures to drive it to fixation or extinction. These equilibrium  
21 conditions, which are assumed in all mathematical models that support  
22 balancing selection (e.g. Bürger, 2000, 2005; Schneider, 2006; Roff, 1997;  
23 Turelli & Barton, 2004), might appear implausible in the light of all the radi-  
24 cal changes that took place in human ecology during the last 10,000 years  
25 (see above and also Miller, this volume). Indeed, quite a few novel selection  
26 pressures, such as those for lighter skin pigmentation that came with popu-  
27 lating the Northern hemisphere or those for lactose tolerance that came with  
28 the domestication of animals, were certainly so directional that they left  
29 hardly any room for balancing selective forces. A multitude of other cultural  
30 innovations led to widespread dominance of humans over ecological factors  
31 that once were hostile selection pressures, such as predators, food shortages,  
32 and the weather, essentially nullifying their selective effects (at least in the  
33 Western world) (Flinn & Alexander, 2007). Balancing selection is unlikely to  
34 explain any remaining genetic variation associated with traits related to these  
35 survival conditions.

36       However, other environmental factors—mostly those related to social  
37 cooperation and competition—can reach equilibrium states that allow for  
38 balancing selection even in the face of rapid modern cultural development:  
39 In every population, there will always be some niches for cheaters and  
40 co-operators (related to the personality traits of psychopathy and agreeable-  
41 ness), for risk-takers and hesitators (related to sensation seeking), for liberal  
42 and conservative attitudes (related to openness to experiences), for long-term



1 planners and short-term opportunity seekers (related to conscientiousness),  
2 for monogamous and promiscuous individuals (related to sociosexuality  
3 and extraversion), for aggressive hawks and peaceful doves (related to  
4 extraversion and agreeableness), and many more (Denissen & Penke, 2008a;  
5 Penke et al., 2007a,b). However, the sizes of the niches for these traits  
6 might differ substantially across populations and even subpopulations.  
7 How well an individual with a genetic predisposition for any of these traits  
8 will fare in a certain population will depend on the traits of all the other  
9 individuals in the population (i.e., frequency-, density-, and competition-  
10 dependent selection), as well as on the existence of social institutions that  
11 support or constrain a certain social strategy and what is demanded by the  
12 distribution of resources and other environmental factors. As a consequence,  
13 the prevalence and success rates of different strategies and the traits that  
14 support them will differ between populations, but only quantitatively (i.e.,  
15 in terms of allele frequencies, see Kidd, 2009), not qualitatively (i.e., in terms  
16 of the genetic architecture of the traits, as would be expected for recent  
17 selective sweeps).

18 There is another reason that the application of balancing selection to  
19 human genetic variance is special—and might be especially fruitful: Unlike  
20 crops that are planted on a field or lab mice that are kept under strictly con-  
21 trolled conditions, humans are not predestined to live in particular environ-  
22 ments. They seek out their preferred conditions and adjust their surroundings  
23 to their own needs, which reflect their individual traits. In different disci-  
24 plines this human tendency (or slight variations thereof) is known by differ-  
25 ent names: Niche construction (Laland & Brown, 2006), genotype-specific  
26 habitat selection (Hedrick, 1990), active gene-environment correlation  
27 (Plomin et al., 2008), experience-producing drives (Bouchard, Lykken,  
28 Tellegen, & McGue, 1996), or simply personal freedom. But no matter what  
29 it is called, it has the same effect: Humans try to expose themselves as well  
30 as they can to the selection pressures that suit their traits best. Sociable  
31 people are more likely to move to densely populated cities (Jokela, Elovainio,  
32 Kivimäki, & Keltikangas-Järvinen, 2008), and cheaters might as well, in order  
33 to take advantage of the greater anonymity. Risk-takers will choose to become  
34 high-frequency financial traders and free-time sky surfers instead of account-  
35 ants and lapidarists (e.g. Ozer & Benet-Martínez, 2006). Liberals as well  
36 as promiscuous people will shun conservative religious communities, and  
37 anxious individuals will sign more insurance contracts.

38 Of course, peoples' abilities to influence the world they are living in  
39 will always be limited to some degree by environmental constraints and con-  
40 flicting interests of other individuals. Cheaters may try, but people usually do  
41 not want to be exploited and might even care to punish their attempts (Boyd  
42 et al., 2003). Jobs and family situations might limit peoples' chances to

1 migrate to their favorite environments. Preferentially promiscuous people  
2 with low mate values might learn that they are better off in long-term relation-  
3 ships (Penke & Denissen, 2008). So, just as individuals cannot adjust them-  
4 selves perfectly to their environment despite their conditional adaptations,  
5 they are also not able to select or adjust their environment perfectly to fit their  
6 traits. From an evolutionary genetic perspective, this means that as long as  
7 fitness-relevant trait differences exist, people will try to expose themselves to  
8 selection pressures that most favor their particular traits, though they may  
9 not always succeed. Effectively, the human tendency to strive for, but limited  
10 ability to permanently reach, optimal conditions for themselves exaggerates  
11 balanced selection pressures and it might thus help to generate the equilib-  
12 rium state that is necessary for maintaining genetic variation in human traits  
13 by balancing selection (see Hedrick, 1986, 2006).

14 Individual differences that are heritable because their genetic founda-  
15 tions are under balancing selection can be distinguished from other traits in  
16 that they may have positive or negative effects on fitness-relevant life out-  
17 comes such as mating success, fertility, health, and longevity, depending on  
18 the environment in which they are expressed. Traits under balancing selec-  
19 tion will also show a distinctive genetic make-up, with a limited number of  
20 genetic variants affecting the trait that have sizable phenotypic effects and  
21 intermediate frequencies in the population (neither extremely high nor  
22 extremely low). While more data on phenotypic and genetic relations are  
23 still needed, the current evidence suggests balancing selection as a plausible  
24 mechanism for the maintenance of genetic variance in personality traits like  
25 those hierarchically organized in the Big Five personality trait taxonomy  
26 (John, Naumann, & Soto, 2008), but not in general intelligence (e.g., roughly  
27 IQ) (for a detailed discussion, see Penke et al., 2007a,b). However, balancing  
28 selection might be a viable mechanism for genetic variance in lower-order  
29 dimensions of cognitive abilities after controlling for general intelligence, like  
30 the verbal-mental rotation and focus-diffusion dimensions identified by  
31 Johnson and Bouchard (2007; Johnson, Jung, Colom, & Haier, 2008).  
32 Similarly, balancing selection might not be a viable explanation for genetic  
33 variation in overall mental health, physical health, or physical attractiveness  
34 (Keller & Miller, 2006; Thornhill & Møller; Fink & Penton-Voak, 2002)—  
35 all traits with exclusively positive effects on fitness—but it might be for a  
36 potential psychosis-autism continuum (Crespi & Badcock, 2008). That is,  
37 balancing selection could explain the genetic variance that leads to different  
38 phenotypic expressions of a general liability for mental disorders in different  
39 individuals, but not the genetic variation in the general liability itself (Yeo,  
40 Gangestad, & Thoma, 2007). It might also explain aspects of physical attrac-  
41 tiveness that fall along a masculinity-femininity continuum (Penton-Voak,  
42 Jacobson, & Trivers, 2004), but not general differences in overall physical

1 attractiveness. (Note that the masculinity-femininity continuum is distinct  
 2 from the categorical sexual dimorphism discussed earlier—both sex morphs  
 3 vary along this dimensions, though their optimal levels differ.) In contrast,  
 4 the best explanation for the maintenance of genetic variation in general intel-  
 5 ligence, health, and attractiveness is most plausibly a different one, which  
 6 I will discuss next.

## 7 MAINTAINING THE ADAPTIVE DESIGN: 8 MUTATION-SELECTION BALANCE

9 When genomes are copied to be transferred from one generation to the next,  
 10 replication errors can occur. These errors are called mutations (or sometimes  
 11 ‘derived alleles,’ as opposed to ‘ancestral alleles’), and they are the ultimate  
 12 source of genetic variation among individuals. Mutations can occur in differ-  
 13 ent forms, from point mutations of single nucleotides to copy number  
 14 variations of parts of a gene to larger chromosomal aberrations (Frazer,  
 15 Murray, Schork, & Topol, 2009). Despite sophisticated DNA repair mecha-  
 16 nisms (Aguilera & Gomez-Gonzalez, 2008), new mutations are not  
 17 uncommon in humans: Estimates indicate about 1.67 non-neutral mutations  
 18 per individual per generation (Keightley & Gaffney, 2003), or a risk of about  
 19 80% to be born with at least one new, functional mutation (Keller, 2007).  
 20 Only very rarely will these new mutations be advantageous and potentially  
 21 favored by selection in selective sweeps (Eyre-Walker & Keightley, 2007).  
 22 Most of the time, they will interfere with the adaptive design and thus have  
 23 detrimental effects on fitness. Purifying selection will work against these  
 24 harmful mutations, but its ability to eliminate them depends on the fitness  
 25 effects that the mutations have. Mutations with strong effects and high  
 26 penetrance, like those involved in many single-gene Mendelian disorders, can  
 27 be eliminated quickly (sometimes in one generation, e.g. if they cause infer-  
 28 tility or death before reproduction), but those with weaker effects and lower  
 29 penetrance (‘recessive genes’) can be passed on from generation to genera-  
 30 tion and stay in populations for long periods (for example for an average of  
 31 10 generations, affecting a total of about 100 people, if the mutation reduces  
 32 fitness by 1%, see Garcia-Dorado, Caballero, & Crow, 2003).

33 It follows that everyone carries a load of mildly harmful mutations.  
 34 This mutation load is mostly inherited by offspring from parents, but a few  
 35 new mutations arise in each generation. Thus, each particular mutation  
 36 will be eliminated by selection eventually, but at the same time new muta-  
 37 tions will arise, leading to an equilibrium state called *mutation-selection*  
 38 *balance*. According to very conservative estimates, the average number of  
 39 mildly harmful mutations carried by individual humans is about 500

1 (Fay, Wyckoff & Wu, 2001; Sunyaev et al., 2001). This means that in all of us,  
2 some parts of our adaptive design are somewhat disrupted by mutations, but  
3 we differ in the number and the location of these disruptions. Mutation loads  
4 may account for a substantial portion of genetic variance in human traits,  
5 especially if the traits are dependent on many genes, which gives the traits a  
6 large mutational target size (Houle, 1998). Except for some mutational  
7 hotspots where greater variability is usually adaptive (e.g. for co-evolutionary  
8 arms races with pathogens), mutations occur randomly across all of the  
9 genetic loci that contribute to a trait's mutational target size. It is very unlikely  
10 that any of these harmful mutations will ever reach an intermediate preva-  
11 lence rate in the face of selection working against them (Turelli & Barton,  
12 2004). The mutations that underlie the genetic variance of traits with large  
13 mutational target sizes will thus be numerous, but individually rare, evolu-  
14 tionarily transient, and with small effects on the traits they affect.

15 The trait with the largest mutational target-size is, of course, fitness itself,  
16 because it is influenced by all selectively non-neutral parts of the genome,  
17 which make up the adaptive design (Houle et al., 1994). Thus, it can be  
18 assumed all mildly harmful mutations in the genome affect fitness and thus  
19 that a large proportion of the genetic variance in fitness is maintained by  
20 mutation-selection balance. A similar assumption can be made for complex  
21 traits that reflect the overall condition of larger parts of the body and brain,  
22 and that have a strong, unidirectional relationship to fitness outcomes like  
23 survival and reproductive success. For example, Keller and Miller (2006) made  
24 a strong case that common psychopathologies like schizophrenia, bipolar dis-  
25 order, and autism fall in this category. In line with their hypothesis that these  
26 disorders are under mutation-selection balance, a whole series of recent stud-  
27 ies has found evidence for the involvement of many rare genetic variants in  
28 schizophrenia (Stefansson et al., 2008; Stone et al., 2008; Walsh et al., 2008;  
29 Xu et al., 2008) and autism (Kumar et al., 2008; Morrow et al., 2008; Sebat  
30 et al., 2007; Weiss et al., 2008; see also Abrahams & Geschwind, 2008).  
31 Indeed, it is plausible that a high load of rare mutations results in a general  
32 susceptibility for psychopathologies, and whether and how this predisposition  
33 gets expressed in specific clinical symptoms depends on other genetically  
34 influenced traits and environmental factors (Penke et al., 2007a; Yeo,  
35 Gangestad, & Thoma, 2007; see also Crespi & Badcock, 2008). Similarly, it has  
36 been proposed that mutation-selection balance explains the standing genetic  
37 variation in general intelligence (Miller, 2000), a hypothesis that is in line with  
38 the existing phenotypic and genetic evidence (Penke et al., 2007a; Deary,  
39 Penke, & Johnson, 2010). It is also possible that individual differences in muta-  
40 tion load play a role in general health differences (Thornhill & Møller, 1997).

41 Finally, it has been argued that sexually attractive traits evolve to become  
42 dependent on large parts of the genome through an evolutionary process

1 called ‘genic capture,’ which effectively makes these traits more reliable  
2 fitness indicators by making them dependent on the overall condition of the  
3 individual, because only highly fit individuals in good condition can afford to  
4 display these traits (Rowe & Houle, 1996; Tomkins et al., 2004). Virtually all  
5 modern models of sexual selection now assume that sexually attractive traits  
6 reflect mutation loads (Kokko, Brooks, Jennions, & Morley, 2003). Indeed,  
7 sexual selection seems to be one of the most important evolutionary mecha-  
8 nisms that counteract harmful mutations, at least in fruit flies (Sharp &  
9 Agrawal, 2008), but likely also in humans (Miller, 2000).

10 To summarize, a balance between rare, mildly harmful mutations and  
11 purifying selection is a plausible evolutionary mechanism to explain genetic  
12 variation in broad human traits that are influenced by large parts of the  
13 genome and thus large parts of the adaptive design. Certain psychopatholo-  
14 gies and general intelligence might qualify as such traits because they reflect  
15 the overall functionality or system integrity of the brain, and general health  
16 and sexual attractiveness might qualify because they reflect the overall  
17 condition of the body.

## 18 TYING IT ALL TOGETHER: A LIFE HISTORY PERSPECTIVE 19 ON SOURCES OF INDIVIDUAL DIFFERENCES

20 At the beginning of this chapter, I introduced life history theory as the most  
21 frequently used framework for evolutionary approaches to individual differ-  
22 ences. According to life history theory, individual differences exist as  
23 manifestations of different strategies for allocating efforts to various fitness-  
24 related tasks over the lifespan. Except for neutral genetic variation and certain  
25 environmental influences with no effect on evolutionary fitness whatsoever,  
26 all other sources of individual differences that I have discussed in this chapter  
27 can be related to life history theory. Most of them relate to how individuals  
28 reach different strategic life history decisions for effort allocation, while one  
29 (mutation-selection balance) relates to how much effort individuals have  
30 available to allocate.

31 Universal, sexually dimorphic and conditional adaptations, as well as  
32 genetic variants under recent selective sweeps or balancing selection all have  
33 in common that they will contribute to the adaptiveness of strategic life  
34 history decisions as long as they are expressed in the right environment. For  
35 example, the basic motives to pursue life-history tasks such as mating, raising  
36 children, or helping kin can be seen as universal adaptations. These motives  
37 assure that people do not behave completely randomly over their lifespans,  
38 but instead are concerned with tasks that are necessary for successful propaga-  
39 tion of their genes (Tooby, Cosmides & Barrett, 2003). However, preferences

1 and desires regarding resource allocation to one specific task over another  
 2 (like seeking and courting new potential mates vs. retaining a single mate)  
 3 likely evolved to differ between the sexes (i.e., sexually dimorphic adapta-  
 4 tions). Conditional adaptations allow for further systematic adjustments of  
 5 allocation decisions to immediate environmental stimuli (e.g. the presence of  
 6 babies, competitors, or potential mates—adaptive conditional adjustments)  
 7 or developmental environments (e.g. faster pubertal development after experi-  
 8 encing chronic childhood stress—adaptive phenotypic plasticities).  
 9 Furthermore, all these motives, preferences, desires, and other adaptations  
 10 that support adaptive allocation decisions can differ to some degree in their  
 11 strengths, activation thresholds, sensitivities, reactivities, or other parameters.  
 12 It is very likely that most individual differences in these parameters are influ-  
 13 enced by genetic differences, and as soon as a certain parameter setting leads  
 14 to more adaptive effort allocations throughout the lifespan, its underlying  
 15 genetic variants come under positive selection. From then on, whether these  
 16 genetic variants remain adaptive and eventually become fixed (i.e., a selec-  
 17 tive sweep) depends on the stability of the relevant environmental circum-  
 18 stances. If the environment changes so that different parameter settings are  
 19 more adaptive at different times or within different environmental niches,  
 20 balancing selection may be operative.

21 In fact, environmental stability is the biggest determinant of the  
 22 degree to which the various sources of individual differences discussed in this  
 23 chapter are able to make contributions to the adaptiveness of life history  
 24 decisions. These sources can be arranged along a continuum of environmen-  
 25 tal stability (Figure 9.1, see also Penke, 2009): When relevant environmental

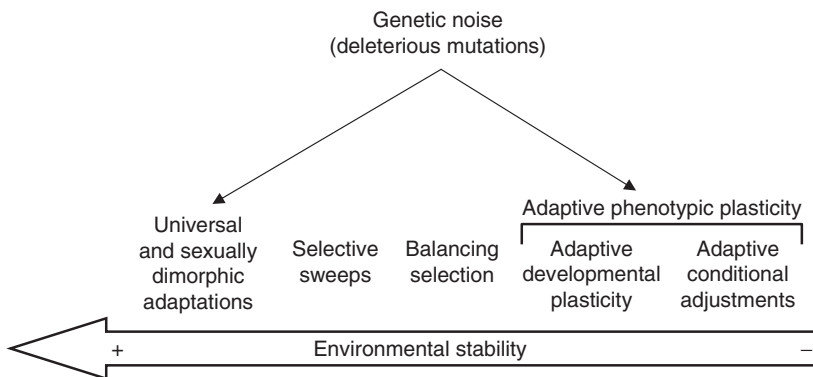


Figure 9.1

1 aspects are stable over tens of thousands of years or longer, organisms can  
2 evolve universal adaptations that develop reliably in every individual every  
3 generation (or at least in every individual of the same sex, if the adaptive  
4 challenges are sex-specific). In this case, selective pressures have been stable  
5 for long enough to fix genetic variants and to allow for the gradual evolution  
6 of complex adaptations.

7 When the environment is less stable, some genetic variants that affect  
8 parameters of adaptations may not have had enough time to become fixed so  
9 that we might observe them as recent selective sweeps. Even less stable and  
10 homogenous environments might prevent certain genetic variants becoming  
11 fixed for very long periods because the selection pressures that the different  
12 environments exert on them are balanced. Environmental changes that occur  
13 over periods that are miniscule on an evolutionary scale—a few generations  
14 or even within the lifetimes of individuals—cannot have noticeable effects  
15 on the frequencies of genetic variants. However, if the environmental changes  
16 are recurrent over evolutionary times and fitness-relevant, conditional  
17 adaptations might still evolve: Adaptive developmental plasticities if the  
18 environmental changes recur across generations and adaptive conditional  
19 adjustments if they recur within generations.

20 Put differently, long-term stable environments allow for the evolution of  
21 universal adaptations that guide strategic life history decisions, but individual  
22 parameters of these adaptations (like trait sizes, sensitivities, thresholds, or  
23 strength of responses) can be adjusted to more transient environmental con-  
24 ditions by changes in the frequencies of relevant genetic variants, or such  
25 parameters of adaptations can be adjusted by purely environmental means  
26 (i.e., without primary involvement of genetic differences) if adaptations have  
27 evolved sensitivities to react to recurrent adaptive challenges (such as  
28 drought). Taken together, all these different sources of individual differences  
29 support the adaptive allocation of life efforts.

30 It should be noted that not all environmental factors will eventually  
31 elicit adaptive responses like the ones discussed here. Some factors will be  
32 irrelevant to fitness and merely add noise to the environmental cues that  
33 activate conditional adaptations (thus setting an upper limit on their maxi-  
34 mal effectiveness—an example would be when mate choice preferences get  
35 distorted by arbitrary fashion trends), or possibly fix currently neutral genetic  
36 variants just by chance, which otherwise might have become the subject of  
37 selective sweeps or balancing selection in future environments (as happens  
38 with genetic drift). Other environmental factors can be fitness-relevant  
39 (sometimes highly so), but organisms are unable to react adaptively, either  
40 because environmental factors change too rapidly (as in co-evolutionary arms  
41 races between pathogens and their hosts), or because no genetic variants  
42 have any adaptive advantage (for example against toxins or radiation), or

1 because the misfit between the existing adaptive design and novel environ-  
 2 mental factors is simply too great (such as when an evolutionarily sudden  
 3 abundance of food causes evolutionarily selected preferences for high-caloric  
 4 food to become maladaptive). These environmental factors contribute to the  
 5 fact that, despite all the sources of adaptive individual differences, people's  
 6 strategic life history decisions will never be perfect.

7 A different source of individual differences relevant for life history  
 8 theory is the individual loads of mildly harmful mutations under mutation-  
 9 selection balance that people carry in their genomes. Mutation loads repre-  
 10 sent idiosyncratic collections of random disruptions of any of the universal,  
 11 sex-specific, and conditional adaptations that constitute the adaptive design  
 12 (see Figure 9.1). Thus, mutations loads do not reflect very well how much  
 13 any *specific* adaptation that steers a certain strategic life history decision is  
 14 impeded by mutations. Instead, mutation loads reflect the overall genetic  
 15 fitness or genetic quality (Penke et al., 2007a), an important determinant of  
 16 an organism's ability to develop according to its evolved genetic blueprint  
 17 and despite environmental disturbances (i.e., developmental stability—  
 18 Polak, 2003), to maintain its functional integrity over the lifespan (i.e., system  
 19 integrity—Batty et al., 2007), and to secure resources from the environment  
 20 that increase its competitiveness and mate value (i.e., condition—Tomkins  
 21 et al., 2004). The role of overall genetic quality in life history theory is best  
 22 understood as individual differences in how much effort is available for allo-  
 23 cations between different life history tasks. Genetic quality sets upper limits  
 24 for the quality of fitness indicators, maximal longevity, or the amount of  
 25 investment people can make in offspring and kin. Of course, individuals of  
 26 better genetic quality (and consequently developmental stability, system  
 27 integrity, and condition) will face the same trade-offs in effort allocation  
 28 between different tasks faced by individuals of worse genetic quality.  
 29 However, those of better quality will, on average, be able to invest more in  
 30 every single task. Thus, individual differences in overall genetic quality can  
 31 explain why empirical studies usually find positive correlations between dif-  
 32 ferent life history traits like growth rate, fertility, and longevity, even though  
 33 trade-offs in strategic life history decisions would predict negative correla-  
 34 tions under the assumption that efforts are finite and allocation of more  
 35 effort to one task means less for another (Tomkins et al., 2004).

### 36 FUTURE CHALLENGES FOR AN EVOLUTIONARY PSYCHOLOGY 37 OF INDIVIDUAL DIFFERENCES

38 In this chapter, I took an evolutionary perspective on sources of individual  
 39 differences, including sex-specific and different forms of conditional adapta-  
 40 tions, recent selective sweeps, balancing selection, mutation-selection balance,



1 neutral genetic variation, and non-adaptive phenotypic plasticity. These dif-  
2 ferent sources of individual differences can be distinguished based on their  
3 fitness relevance, the degree and pattern of environmental stability that they  
4 require to be adaptive, the genetic architecture that they can be expected to  
5 have, and how they relate to the broader framework of life history theory.  
6 These sources can be seen as a rather comprehensive set of theoretical build-  
7 ing blocks for evolutionary explanations of individual differences, thus  
8 bridging the gap between evolutionary psychology and the study of individ-  
9 ual differences.

10 The individual differences dimensions studied in psychology tend to be  
11 derived from descriptive studies rather than underlying biological mecha-  
12 nisms, and thus these dimensions tend to be rather complex phenotypes  
13 that likely reflect the interplay of several sources of individual differences.  
14 Take for example the trait of sociosexuality. Sociosexuality reflects individ-  
15 ual differences in the tendency to engage in short-term sexual relationships.  
16 It is closely linked to the strategic life history decision whether to allocate  
17 more effort in finding and courting new potential mates or to investing pri-  
18 marily in a single mate and potential offspring (Simpson & Gangestad, 1991;  
19 Penke & Asendorpf, 2008). Like every human trait, sociosexuality builds on  
20 a system of universal adaptations (like the sex drive and the adult attachment  
21 system). Some of the parameters in this system seem to have different set-  
22 tings in the male and female human morphs, leading to rather universal sex  
23 differences in, for example, the desire for sexual variety (Schmitt et al.,  
24 2003). Furthermore, individual differences in sociosexuality are influenced  
25 by adaptive conditional reactions to the environment (Gangestad & Simpson,  
26 2000; Schmitt, 2005), including adaptive conditional adjustments to one's  
27 own genetic and phenotypic quality (Gangestad & Simpson, 2000; Penke  
28 et al., 2007), a case of reactive heritability (Tooby & Cosmides, 1990a) which  
29 might partly explain its genetic variance. Other parts of the genetic variance  
30 in sociosexuality might be shared with personality traits like extraversion  
31 (Schmitt, 2004), which appear to be under balancing selection (Penke et al.,  
32 2007a).

33 However, even the genetic variance in those personality traits might in  
34 the end not be under balancing selection alone: Extraversion, for example,  
35 shows some relation to fluctuating asymmetry (Pound, Penton-Voak, &  
36 Brown, 2007), openness to experiences shows robust relationships to general  
37 intelligence (DeYoung, Peterson, & Higgins, 2005), and neuroticism relates  
38 to various psychopathologies (Saulsman & Page, 2004). All these associations  
39 imply certain links to genetic quality and mutation-selection balance, which  
40 might also explain the general personality factor that can be extracted because  
41 of the systematic overlap of broad personality traits (Rushton, Bons, & Hur,  
42 2008; Rushton & Irwing, 2008; Miller, this volume), though a substantial  
43 part of the variance in this factor seems to be due to socially desirable

1 responding, method biases, and other artifacts (Bäckström, Björklund, &  
2 Larsson, 2008; McCrae et al., 2008).

3 A major future task for an evolutionary approach to the study of indi-  
4 vidual differences will be to identify the different sources of individual dif-  
5 ferences for any given trait, to disentangle their interplay, and to quantify  
6 their relative impact. All not completely selectively neutral dimensions of  
7 individual differences must relate to some systems of universal adaptations,  
8 but it is important to know which systems these are, which parameters of  
9 these systems differ between people, and why they differ. Neuroticism, for  
10 example, relates to the sensitivity of people to social rejection from signifi-  
11 cant others (Denissen & Penke, 2008b), a key parameter in sociometer theory  
12 (Leary & Baumeister, 2000), which provides an adaptive explanation for the  
13 function of self-esteem as a gauge of social acceptance, and this explanation  
14 appears to be universally valid (Denissen, Penke, Schmitt, & van Aken, 2008).  
15 Conditional adaptations have been studied quite a bit in evolutionary psy-  
16 chology, but in recent years, cross-cultural studies that related population  
17 averages of traits to environmental conditions (Gangestad et al., 2006;  
18 Schaller & Murray, 2008; Schmitt, 2005) have proven especially valuable.  
19 Some traits appear to be influenced by various conditional adaptations, and  
20 for such traits it would be useful to know their relative impact on individual  
21 differences, since it would allow inferences about the nature of environmen-  
22 tal variance in traits (Penke, 2009). The sensitivity or reactivity of conditional  
23 adaptations will differ between people due to genetic differences. These  
24 gene-environment interactions and transactions might be easier to disentangle  
25 by taking a reaction norm perspective on traits, where behaviors of people  
26 with different trait levels are systematically mapped to dimensions of rele-  
27 vant environmental factors (Denissen & Penke, 2008a; Pigullici, 2005; Penke  
28 et al., 2007a; West-Eberhard, 2003).

29 Such a reaction norm perspective might also be helpful for identifying  
30 individual genes that underlie the heritable variance of traits, since gene-  
31 environment interactions (along with gene-gene interactions) are seen as  
32 some of the major obstacles in molecular genetic studies of quantitative traits  
33 (Maher, 2008; Frazer, Murray, Schork, & Topol, 2009). Furthermore, since a  
34 genetic variant cannot be under mutation-selection balance and balancing  
35 selection at the same time and they will result in quite distinctive genetic  
36 architectures (Penke et al., 2007a), it should be a fruitful approach to control  
37 for variance components for which there is strong evidence that they are  
38 under one selection pressure when looking for genetic variants under another  
39 selection pressure. This might be especially useful for genome-wide associa-  
40 tion studies (GWAS), where genetic markers across the whole genome are  
41 used to discover new genetic variants associated with quantitative traits in a  
42 purely explorative manner. GWAS are only able to detect effects of genetic  
43 variants that are rather common in terms of their population frequency

1 (as expected if the variants are under balancing selection or possibly recent  
2 selective sweeps), but it is impossible for them to detect rare variants (as  
3 expected under mutation selection balance) (Frazer et al., 2009; McCarthy  
4 et al., 2008). So when, for example, searching for common genetic variants for  
5 openness to experience or spatial ability (which are supposed to be under  
6 balancing selection), it should help to control for the genetic variance shared  
7 with general intelligence (which is supposed to be due to rare variants of small  
8 effect sizes under mutation-selection balance). Similarly, it might be worth-  
9 while to control for sexual attractiveness when looking for common genes for  
10 sociosexuality, or for fluctuating asymmetry or other markers of general con-  
11 dition when looking for genes for extraversion. Techniques are available to  
12 directly test genes that might be identified in this process for signatures of  
13 balancing selection (Hedrick, 2006). On the other hand, gene and genome  
14 re-sequencing studies are starting to become available, which allow us to  
15 directly test the impact of rare, small-effect mutations on quantitative traits  
16 like intelligence, common psychopathologies, attractiveness, and health  
17 (Bentley et al., 2008; Frazer et al., 2009). In addition, several molecular genetic  
18 tests already exist that allow the identification of signatures of adaptive evolu-  
19 tion and recent selective sweeps (Bamshad & Wooding, 2003; Harris, 2008;  
20 Hoffmann & Willi, 2008; Williamson et al., 2007). For all these molecular  
21 techniques, the quality of the available data is constantly improving at rapid  
22 pace. Though the conclusions that we can currently draw on the genetic archi-  
23 tecture and evolutionary history of traits cannot be considered definite in  
24 most cases, this will almost certainly change dramatically in the next years.

25 Taken together, these are exciting times, in which the need for an evolu-  
26 tionary psychology of individual differences is not only realized, but new  
27 methods and data from various fields are available for this endeavor. From an  
28 evolutionary perspective, lifetime reproductive fitness is the ultimate dimen-  
29 sion of individual differences and aside from chance events, it is determined  
30 by how people strategically allocate the life effort they have available. Several  
31 of the sources of individual differences I discussed in this chapter—sexually  
32 dimorphic and conditional adaptations, recent selective sweeps, balancing  
33 selection, and mutation-selection balance—will interact to produce the inter-  
34 individual variance in traits that relate to life history strategies. This interplay  
35 is what we need to understand in order to create an evolutionary psychology  
36 of individual differences.

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